

Policy Regarding Granting Exposure to clients

Our RMS refers the following points before giving exposure to our clients, which in turn can vary from time to time in view of the then prevailing circumstances:

- Client's net worth
- Collateral or deposits taken from the client
- Existing open positions of client and the various margin obligations getting attracted
- Broker's risk perception of the client
- Prevailing market volatility
- The benefit of 'credit for sale of shares' is to be considered while evaluating the exposure of a client at that particular time.
- In case of F&O trading, collateral received after the trading day in 'client's margin account' will not be considered for margin and exposure calculation.
- In case of F&O, strict margin limits for upfront margin, exposure margin, MTM margin and volatility margin as calculated by exchange formulae will be adhered to before providing exposure.
- Scrip wise exposure can vary depending upon the group to which the scrip belongs. A client is liable to get less exposure for scrip under 'Z' & 'T' groups, as the broker has to keep in mind the total turnover of the scrip, liquidity during the day, per day limits for a particular Group (e.g. T, Z groups) set by Exchanges or any such reasons after referring the daily notices of Exchanges & SEBI.
- Updated ASM and GSM list will be referred before allowing exposure in such scrips.
- Exposure to many illiquid scrips can remain blocked at our end and can be activated only with management's approval.
- Any other relevant factor.

Woodstock Broking Pvt. Ltd.
7th Floor, Ncl Building,
Plot No. C-6, "E" Block, Near City Park,
BKC, Bandra (E), Mumbai - 400 051
Tel. No. 022 26592850

SEBI Regn. No. INZ 000242835

The client has to agree to exposure/margin variation, reduction, imposition and restrictions that can affect his ability to execute the orders. Further the client has to agree that the losses if any on account of Part A - Mandatory 3 such refusal or due to delay caused by periodic reviews or interventions shall be borne exclusively by the client alone.

Necessary limits will be set by our officials on the basis of document/s procured from client such as Balance sheet and Profit and Loss statement, Annual IT acknowledgement copy, Auditor certified net worth statement or self -declared net worth. Any one or combination of above documents will be scrutinized to arrive at a suitable terminal/ UCC limit for the client.

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